



# Cetaceans in the Mediterranean Sea: Encounter Rate, Dominant Species, and Diversity Hotspots

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Abstract: We investigated the presence and diversity of cetaceans in the Mediterranean Sea, analysing the data collected by 32 different research units, over a period of 15 years (2004–2018), and shared on the common web-GIS platform named Intercet. We used the encounter rate, the species prevalence, and the Shannon diversity index as parameters for data analysis. The results show that cetacean diversity, in the context of the Mediterranean basin, is generally quite low when compared with the eastern Atlantic, as few species, namely the striped dolphin, the bottlenose dolphin, the fin whale, and the sperm whale, dominate over all the others. However, some areas, such as the Alboran Sea or the north-western Mediterranean Sea, which includes the Pelagos Sanctuary (the Specially Protected Area of Mediterranean Interest located in the northern portion of the western basin), show higher levels of diversity and should be considered hotspots to be preserved. Primary production and seabed profile seem to be the two main drivers influencing the presence and distribution of cetaceans, with the highest levels of diversity observed in areas characterized by high levels of primary production and high bathymetric variability and gradient. This collective work underlines the importance of data sharing to deepen our knowledge on marine fauna at the scale of the whole Mediterranean Sea and encourages greater efforts in the networking process, also to accomplish the requirements of the Marine Strategy Framework Directive, with particular reference to Descriptor 1: biological diversity is maintained.

Keywords: Shannon index; prevalence; primary production; chlorophyll; habitat; seabed; bathymetry

#### 1. Introduction

The Mediterranean is a semi-enclosed sea, embedded between Eurasia and Africa and connected to the Atlantic Ocean through a natural, 59-km wide channel, the Strait of Gibraltar. It is an oligotrophic sea [1,2], with a low level of primary productivity and biomass compared with the Atlantic, but owing to its geological history and particular conformation, it is believed to host a high level of biodiversity, with more than 17,000 reported marine species, of which about one-fifth are considered endemic [3].

About 6–5.3 million years ago, during the Messinian, the connection channel with the Atlantic closed as a result of the movements of continental masses, causing a partial or nearly complete desiccation of the Mediterranean basin—the Messinian salinity crisis [4,5]. It is believed that, because of this upheaval, most of the species that populated the Mediterranean Sea have disappeared, with the relative collapse of biodiversity. Subsequently, in the early Pliocene, the channel reopened and the basin was re-colonized by Atlantic species, including cetaceans [3,6].

At present, the regular presence of nine species of cetaceans, all of Atlantic origin, is reported by the monitoring and research campaigns that have been conducted in the area [7]. Among odontocetes, the striped dolphin (*Stenella coeruleoalba*), the common bottlenose

dolphin (*Tursiops truncatus*), the common dolphin (*Delphinus delphis*), the Risso's dolphin (*Grampus griseus*), the long-finned pilot whale (*Globicephala melas*), and the rough-toothed dolphin (*Steno bredanensis*), belonging to the family Delphinidae, as well as the Cuvier's beaked whale (*Ziphius cavirostris*) and the sperm whale (*Physeter macrocephalus*), belonging to the family Ziphiidae and Physeteridae respectively, are considered resident alongside the fin whale (*Balaenoptera physalus*), belonging to the family Balaenopteridae, which is the only regularly sighted mysticete. Four more species, namely, the killer whale (*Orcinus orca*), the false killer whale (*Pseudorca crassidens*), the minke whale (*Balaenoptera acutorostrata*), and the humpback whale (*Megaptera novaeangliae*), are considered occasional visitors to the Mediterranean basin [7–12].

The Black Sea harbour porpoise (Phocoena phocoena relicta, Phocoenidae) is a subspecies of harbour porpoise that inhabits the Black Sea and the neighbouring waters of the Marmara Sea [13,14] and is rarely seen in the northern Aegean Sea [15]. It is considered to be a relict species, once distributed throughout the Mediterranean Sea [16,17]. The presence of Phocoena phocoena relicta in the Black Sea suggests that, in the past, the Mediterranean had hosted a higher biodiversity in terms of cetacean species. With reference to modern times, it is believed that the common dolphin, assessed as quite rare in Mediterranean waters [18], may once have been abundant (perhaps the most abundant species in the basin) and experienced a sudden decrease following the middle of the last century (but the trend could have started earlier) [19,20]. It is not known, however, whether other species have experienced similar trends, following the progressive increase in both direct and indirect anthropogenic pressures. Moreover, some species, such as the long-finned pilot whale or the Cuvier's beaked whale, although considered regularly present in the Mediterranean, have a limited distribution and their sighting outside some specific areas is quite exceptional [21,22]. The lack of historical data, even relatively recently, does not allow comparison with the pre-industrial period and prevents us from knowing whether these species were once more abundant and widespread than today or whether the Mediterranean Sea, owing to its naturally oligotrophic conditions, offers only a few areas compatible with their survival.

The aim of the study is to update the current knowledge on cetacean presence and diversity in the Mediterranean Sea, in order to detect changes and define a baseline for future comparative assessments. The analysis concerns data collected by many different research units over a period of 15 years, focusing on species encounter rates, prevalence, and diversity.

#### 2. Material and Methods

The data were collected by 32 research groups operating in the Mediterranean Sea over a period of 15 years (i.e. from 2004 to 2018). Most of the data were then shared on the web-GIS platform Intercet (https://www.intercet.it/, accessed 1 October 2022), while some were later included in the common dataset (see Table 1 for details). The investigated area includes the Alboran Sea; the Gulf of Lion and the Ligurian Sea; the Tyrrhenian Sea; the waters around Sicily and the Sicily Strait; the northern Ionian Sea, along the Italian and Greek coasts; the Adriatic Sea; the Aegean Sea, along the coast of Türkiye; the Turkish Straits System, consisting of the Marmara Sea, Istanbul, and Çanakkale Straits; and the eastern Mediterranean Sea, off the coast of Israel, Lebanon, and Syria (Table 1, Figure 1).

**Table 1.** Research groups, study area, and sampling period for the common dataset analysed. The subzones (M1, M2, M3, M4, M5, M6, M7, and M8) refer to the areas identified in Figure 1.

Research Group Study Area		Subzone	Sampling Period	Sampling Effort (km)	N Sightings	Data Source
Alnilam Research and Conservation	vation Alboran Sea		2004-2011	27,970	1396	Intercet
SUBMON	Catalonia	M2	2010-2018	1504	22	Intercet
Association BREACH	Gulf of Lion	M2	2013-2016	3700	48	Intercet
EcoOcean Institut (and partners *)	Gulf of Lion, French Riviera, Corsica W	M2	2005–2015	48,735	1835	Intercet
Accademia del Leviatano (FLT Med Network)	NW Mediterranean	M2	2012-2015	57,567	1006	Intercet
Tethys Research Institute—CSR	Liguria W, French Riviera	M2	2004–2018	83,377	2661	Intercet
Fondazione CIMA (FLT Med Network)	Ligurian Sea	M2	2008–2013	46,690	2057	Intercet
Fondazione Acquario di Genova	E Liguria	M2	2004–2018	67,456	1177	Intercet
University of Genoa—DISTAV	Eastern Liguria, Tuscany	M2	2005–2008	15,513	49	Intercet
CE.TU.S Cetacean Research Centre	Tuscany	M2	2004–2018	23,580	507	Intercet
ARPAT	Tuscany	M2	2010-2011	2851	26	Intercet
Association CARI	Northern Corsica	M2	2013-2015	1301	5	Intercet
MIRACETI	Gulf of Lion, French Riviera, Corsica	M2	2004–2018	37,359	665	Intercet
Office de l'Environnement de la Corse	Strait of Bonifacio	M2	2009–2012	3249	42	Intercet
SEA ME Sardinia onlus	Northeast Sardinia	M2	2011-2013	4291	507	Intercet
Bottlenose Dolphin Research Institute	Northeast Sardinia	M2	2004–2013	8586	334	Intercet
Associazione CRAMA	Northwest Sardinia	M2	2010, 2016	376	12	Intercet
MareTerra Onlus	NE Sardinia, Lampedusa	M2	2012–2016	11,853	218	Intercet
University of Pisa (FLT Med Network)	Northern Tyrrhenian Sea	M2	2008–2016	71,147	653	Intercet
University La Sapienza	Eastern Tyrrhenian Sea	M3	2017–2018	833	15	Data owner
Oceanomare Delphis Onlus	Eastern Tyrrhenian Sea	M3	2004-2016	54,772	1083	Intercet
Ketos (FLT Med Network)	Tyrrhenian Sea, Strait of Sicily	M3, M4	2004–2017	53,260	673	Intercet
MeRiS-Mediterraneo Ricerca e Sviluppo APS	Strait of Sicily	M4	2016, 2018	1531	9	Intercet
University of Torino—DBIOS	Lampedusa (Strait of Sicily)	M4	2004–2006	7650	209	Intercet
Jonian Dolphin Conservation	Gulf of Taranto (NW Ionian Sea)	M5	2009–2017	52,505	893	Data owner
Tethys Research Institute—IDP	Gulf of Ambracia	M5	2004-2016	46,749	859	Intercet
Thalassa	Ionian Greece	M5	2004–2018	12,302	203	Intercet
Gaia Res. Institute and University of Torino—DBIOS (FLT Med Network)	NE Ionian Sea and Adriatic Sea	M5-M6	2014–2018	23,642	123	Intercet
DMAD—Marine Mammals Research Association	Southeast Adriatic, Bosphorus, Aegean Sea, Eastern Mediterranean	M7	2011–2018	5727	229	Intercet
Archipelagos Inst. of Marine Conservation	Aegean Sea	M7	2017–2018	4051	95	Data owner
Istanbul University and Turkish Marine Research Foundation	Aegean Sea, Eastern Mediterranean	M7-M8	2005–2008	6901	117	Intercet
Morris Kahn Res. Station, Un. of Haifa	Eastern Mediterranean	M8	2017-2018	8960	35	Intercet
TOTAL				795,989	17,763	

\* Cybelle Planète, SCS and Participe Futur.



**Figure 1.** The sampling tracks performed by the research partners (2004–2018, 795,989 km in total). The isobaths represented (200 m, 2000 m) identify the three main bathymetric domains analysed: 0–200 m, 200–2000 m, >2000 m.

Data were collected using different research platforms (rubber dinghies, sailboats and motorsailers, whale watching boats, and ferries) and the sampling effort was performed mainly from May to September, in favourable weather conditions (sea state <4 in the Douglas scale), following random tracks or fixed line transects.

As the aim of the work was to map the diversity of species in the sampled areas, we only considered the sighting positions and the species identification as baseline data for our analysis, neglecting the group size, as it varies according to the individual species' sociality. Including the group size in the analysis could thus severely reduce the diversity index, underestimating the presence of the species that aggregate in small groups. Furthermore, group size can be quite difficult to estimate, especially for those species that form large aggregations, introducing a major bias in the analysis.

The sampling tracks of the different research groups were mapped using the software ArcGIS Desktop 10.5 (ESRI), and the sampling effort was measured within a grid of  $20 \times 20$  km cells as in the work of Mannocci and co-authors [23].

The common dataset was used to measure the overall cetacean species encounter rate, the species prevalence, and the Shannon diversity index (see below) in the three main bathymetric domains of the sampled areas (see Figure 1): the continental shelf (0–200 m), the continental slope (200–2000 m), and the pelagic waters over the abyssal plain (>2000 m).

#### 2.1. Encounter Rate

The encounter rate (ER) is an indicator of the sighting success in relation to the sampling effort in standard conditions and is calculated as the number of sightings over the distance travelled in kilometres.

The ER is a simple and immediate index to measure the presence of different cetacean species in the study area. As the effort is the same for all the species potentially present, the resulting ER is normalized. It should be noted that the ER is susceptible to the sighting conditions and the kind of vessel used for sampling, with particular reference to the height of the sighting point (and the resulting visual horizon), so it should be used with some caution.

We first measured the species ER on the original dataset (as it was), in aggregate form and on an annual basis, according to the above-described bathymetric domains (0–200 m, 200–2000 m, >2000 m), without any preliminary analysis and possible adjustment connected to the different research platforms used.

Before mapping the ER, we performed a critical analysis of the sighting success obtained by the different research vessels, classifying them into three main categories, based on the height of the observation point above sea level: (A) dedicated vessels with height <4.5 m (including rubber dinghy and sail boats); (B) dedicated vessels with height between 4.75 and 10.5 m (including research boats and whale watching vessels); and (C) ships with height between 12.5 and 29 m (including ferries).

The Wilcoxon signed rank test was used to compare the ER of the striped dolphin (considered the most common species in the offshore domains) among different platforms within the same grid cells for each subzone (paired data test). In the case of statistical significance, a weight was used to make the encounter rates between platforms comparable. The ER was then calculated for each sampled cell ( $20 \times 20$  km) of the grid with an effort greater than the diagonal of the cell (effort  $\geq 28$  km) to mitigate possible bias due to limited effort, as in the work of [24].

#### 2.2. Species Prevalence

By "species prevalence", we mean the proportion of sightings of a given species out of the total number of sightings. The prevalence can thus range from 0 (no sightings of the selected species in the area considered) to 1 (all sightings concern the selected species). Unlike the ER, this analysis does not provide information on the sighting success of the species in a given area, but only about its prevalence over the other species.

#### 2.3. Shannon Diversity Index

The Shannon index (usually denoted as *H*) is one of most popular indices used to measure the diversity of species [25]. The index is given by the following formula:

$$H = -\Sigma p_i * \ln(p_i)$$

where  $p_i$  is the proportion, within the entire community, of the species i.

It should be considered that the Shannon index does not take into account the sampling effort, which is the same for all the species monitored and is thus "self-normalized". This makes it easier to compare different contexts in terms of diversity, but the Shannon index, like the "species prevalence", does not provide any information on the density. An area with few sightings, but equally distributed among the different species, thus has a higher diversity index than an area in which the same species are sighted with greater success (in relation to the sampling effort), but where some of them tend to prevail over the others.

The above-described analyses (encounter rate, species prevalence, and Shannon index) were performed for each sampling cell of the grid. The results obtained were referred to the centroid and processed with a Kernel density analysis [26]. The Kernel density analysis calculates a magnitude-per-unit area from point or polyline features using a Kernel function to fit a smoothly tapered surface to each point and produces a map of the relative density, represented by a colour gradient. Some of the Kernel density parameters can be set to obtain a more detailed or smoothed picture. The following Kernel density parameters have been set to produce our maps.

Kernel density parameters (ER and prevalence maps for single species): cell size x, y =  $5 \times 5$  km; radius  $\approx 30$  km; criterion for division into classes: natural breaks.

Kernel density parameters (ER for all aggregated species and Shannon index): cell size x, y =  $5 \times 5$  km; radius  $\approx 40$  km; criterion for division into classes: natural breaks.

The natural breaks algorithm aims to identify natural groupings of data to create interval classes. The resulting classes will be such that there will be maximum variance between individual classes and minimum variance within each class.

The Shannon index (together with the encounter rate) was also used to compare the diversity of cetaceans, in the bathymetric domains already described (0–200 m, 200–2000 m, and >2000 m), in eight subzones of the Mediterranean (Figure 1): M1 (corresponding to the Alboran Sea), M2 (corresponding to the north-western Mediterranean Sea), M3 (corresponding approximately to the Tyrrhenian Sea), M4 (corresponding approximately to the Strait of Sicily), M5 (corresponding approximately to the Ionian Sea and Gulf of Corinth), M6 (corresponding to the Adriatic Sea), M7 (corresponding to the Aegean Sea), and M8 (corresponding to the eastern Mediterranean Sea).

Diversity indexes and encounter rates have been tested through generalised linear models (GLMs, see below), also allowing to account for the heterogeneity of the effort coverage:

$$y_{ijk} = \mu + x_{effort} + \alpha_i + \beta_j + \alpha \beta_{ij} + \varepsilon_{ijk}$$

where

 $y_{ijk}$ :  $k_{th}$  encounter rate/diversity index;

 $\mu$ : true overall mean;

*x<sub>effort</sub>*: covariate to account for the effort coverage;

 $\alpha_i$ : effect of geographical subzones *i*, such that  $\alpha_i = \mu_i - \mu$  (Factor A, see Figure 1);

 $\beta_i$ : effect of depth classes *j*, such that  $\beta_i = \mu_i - \mu$  (Factor B);

 $\mu_i$ : true population mean for the  $i_{th}$  level of Factor A;

 $\mu_i$ : true population mean for the  $j_{th}$  level of Factor B;

 $\alpha \beta_{ij}$ : geographic zone \* depth classes interaction term;

 $\varepsilon_{iik}$ : error for the  $k_{th}$  observation.

In pairwise multiple comparisons of factors, the Bonferroni correction was applied to adjust the significance level.

Finally, we selected the research units that have carried out at least five consecutive sampling years in the continental slope domain (eight units in total) and we analysed their datasets separately, looking for possible temporal trends in the *H* index through the Spearman's rank correlation test. We selected data collected in the continental slope domain (200–2000 m), as the diversity on the continental shelf domain (0–200 m) may be too low to detect possible trends, while only two units collected data in the pelagic domain (>200 m).

## 3. Results

The common dataset includes a total of 795,989 km of sampling effort, carried out by the different research units between 2004 and 2018 (Table 1, Figure 1). The sampling surveys were not equally distributed within the Mediterranean Sea, but tended to be concentrated in some geographical areas, such as the northern portion of the Alboran Sea, the Pelagos Sanctuary, the waters around Sicily and the Sicily Channel, the Ionian Sea (in the Gulf of Taranto and along the coast of Greece), the central portion of the Adriatic Sea, the Aegean Sea (along the coast of Türkiye), and the eastern Mediterranean Sea (along the coast of Türkiye, Israel, Lebanon, and Syria). Only few data came from the southwest, while no sampling effort was available in the southeast. From a seasonal point of view, the sampling effort was mainly concentrated from May to September, while little data were collected in the other months (Figure 2).



Seasonal sampling effort

Figure 2. The cumulative sampling effort performed by the research units per month.

Figure 3 displays the effort per sampling cell of  $20 \times 20$  km, which represents the basis for the following ER maps, processed using the Kernel density analysis. The effort is higher in the coastal areas and in correspondence to the ferry tracks that cross the Pelagos area, whereas in the other areas (and in the other bathymetric domains), it is usually lower and more homogeneous. This effort has produced a total of 17,763 sightings, referable to 12 different cetacean species (Table 1, Figure 4).



Figure 3. The sampling effort (km) performed by the research units per sampling cell (20  $\times$  20 km).



**Figure 4.** In the period analysed (2004–2018), a total 17,763 sightings, consisting of 12 different cetacean species, were recorded by the research units.

Among the 12 species sighted, 9 were regularly observed in the study areas (Table 2): the striped dolphin (*Stenella coeruleoalba*), with 8954 sightings (50.4%); the common bottlenose dolphin (*Tursiops truncatus*), with 4043 sightings (22.8%); the common dolphin (*Delphinus delphis*) with 695 sightings (3.9%); the Risso's dolphin (*Grampus griseus*), with 239 sightings (1.3%); the long-finned pilot whale (*Globicephala melas*), with 294 sightings (1.7%); the Black Sea harbour porpoise (*Phocoena phocoena relicta*), which was sighted only in the Marmara Sea, close to the Istanbul Strait (Bosphorus), with 26 sightings (0.1%); the Cuvier's beaked whale (*Ziphius cavirostris*), with 312 sightings (1.8%); the sperm whale (*Physeter macrocephalus*), with 1001 sightings (5.6%); and the fin whale (*Balaenoptera acutorostrata*), the Sowerby's beaked whale (*Mesoplodon bidens*), and the rough-toothed dolphin (*Steno bredanensis*), were sighted on only one occasion and should be considered occasional, at least in the areas surveyed by the research units. These latter species were not included in the subsequent analysis (see Figures S1–S9 of the Supplementary Materials for maps of sighting distribution per species).

Analysing the whole dataset ("All data" column in Table 2), the four most sighted species (striped dolphin, bottlenose dolphin, fin whale, and sperm whale) account for about 91% of sightings. Particularly, on the continental shelf (0–200 m), only two species (bottlenose dolphin and striped dolphin) represent about 91% of sightings; on the continental slope (200–2000 m), five species (striped dolphin, sperm whale, fin whale, bottlenose dolphin, and common dolphin) account for about 92%, while in the pelagic waters (>2000 m), the sightings of the striped dolphin and fin whale account for about 90%. In Figure 5, the ER of the species in the bathymetric domains is reported, together with the respective sampling effort. The total ER is lowest on the continental shelf (0–200 m) and highest in pelagic waters >2000 m. The continental slope (200–2000 m) shows a more equal composition of species, suggesting a higher diversity. In relation to the ER of individual species, the bottlenose dolphin and the striped dolphin prevail on the continental shelf (0–200 m) and on the offshore domains (200–2000 m; >2000 m), respectively; the fin whale shows a clear

preference for pelagic waters >2000 m; while the sperm whale is sighted with similar ER both on the continental slope (200–2000 m) and in pelagic waters (>2000 m).

**Table 2.** Sighting distribution of the nine regularly observed species in the bathymetric domains (0–200 m, 200–2000 m, >2000 m).

Species	N Sightings					
operies	All Data	0–200 m	200–2000 m	>2000 m		
Stenella coeruleoalba	8954 (50.4%)	450 (10.0%)	5446 (65.8%)	3058 (61.4%)		
Tursiops truncatus	4043 (22.8%)	3622 (80.6%)	389 (4.7%)	32 (0.6%)		
Balaenoptera physlaus	2196 (12.4%)	92 (2.0%)	673 (8.1%)	1431 (28.7%)		
Physeter macrocephalus	1001 (5.6%)	5 (0.1%)	717 (8.7%)	279 (5.6%)		
Delphinus delphis	695 (3.9%)	288 (6.4%)	360 (4.3%)	47 (0.9%)		
Ziphius cavirostris	312 (1.8%)	1 (0.02%)	277 (3.3%)	34 (0.7%)		
Globicephala melas	294 (1.7%)	0 (0.0%)	238 (2.9%)	56 (1.1%)		
Grampus griseus	239 (1.3%)	10 (0.2%)	182 (2.2%)	47 (0.9%)		
Phocoena phocoena relicta	26 (0.1%)	26 (0.6)	0 (0.0%)	0 (0.0%)		
Total	17,760	4494	8282	4984		



**Figure 5.** The ER of the nine regularly observed species in the three bathymetric domains (left axis) and the sampling effort (black bars, right axis). *Tt: Tursiops truncatus; Sc: Stenella coeruleoalba; Dd: Delphinus delphis; Gg: Grampus griseus; Gm: Globicephala melas; Ppr: Phocoena phocoena relicta; Zc: Ziphius cavirostris; Pm: Physeter macrocephalus; Bp: Balaenoptera physalus.* 

The analysis of the annual ER shows how the species distribution, in the three bathymetric domains, is quite consistent over time, at least with regards to the dominant species, confirming the reliability of the general pattern. In the pelagic waters, however, the ER shows significant annual variations, which could be partially due to the low (and heterogeneous) effort performed over time in this bathymetric domain (see Figures S10 and S11A–C of the Supplementary Materials).

#### 3.1. Mapping the Species ER and Prevalence

Before mapping the species ER, we performed a critical analysis of the sighting success per vessel category in the different subzones, as identified in Figure 1 (see also Figure S12 of the Supplementary Materials). Overall, Wilcoxon signed rank test was not found to be significant for all subzones, except for M1 (Alboran Sea), where a significant difference (*p*-value < 0.05) was found between category A (dedicated vessels with height <4.5 m) and B (dedicated vessels with height between 4.75 and 10.5 m), with the latter producing a much higher striped dolphin encounter rate (Table 3).

**Table 3.** The striped dolphin ER obtained by category A (dedicated vessels with height <4.5 m) and B (dedicated vessels with height between 4.75 and 10.5 m) in subzone M1 (Alboran Sea). The ER obtained by category B is about double that of category A.

Alboran Sea	A (<4.5 m)	B (4.75–10.5 m)	C (12–29 m)
N cells	84	84	NA
Total effort (km)	10,664	14,990	NA
Average ER ( $\pm$ SE)	0.0104 (±0.002)	0.0224 (±0.004)	NA

As the effort performed by the category A vessels across the whole basin was far greater (A = 495,822 km; B = 58,329 km; C = 245,886 km), we normalized the effort of the category B to A in subzone M1 (where the effort performed by the two types of platforms was almost the same, see Figure S13 of the Supplementary Materials) to make the encounter rate comparable across the study areas.

In the following figures (Figures 6–13), the ER map is presented for each species together with the relative prevalence map, in order to facilitate comparison. The ER and prevalence of the Black Sea harbour porpoise were not mapped, as this species was only sighted in the Istanbul Strait area and in the immediately adjacent waters of the Marmara Sea.

#### 3.2. Encounter Rate and Shannon Diversity Index in the Mediterranean Sea

The Shannon index map is presented below, alongside with the map showing the ER for all species together (Figure 14). The diversity index has its maximum values in the Alboran Sea (in the westernmost area of the basin) and seems to decrease moving eastward inside the Mediterranean. This very general pattern shows exceptions, possibly connected to local favourable conditions. In the Aegean Sea, for example, the Shannon index looks higher than in the Adriatic Sea, where it shows its minimum levels.

#### 3.3. Comparison between Subzones

The overall encounter rate was analysed through a GLM with survey effort as a covariate and subzone and depth class as factors (Figure 15). The effort covariate was not found to be significant (*p*-value > 0.05), while geographical subzones (F: 23.2, df1: 7, df2: 854), depth class (F: 5.6, df1: 2) and their interaction (F: 6.2, df1: 9) were all found to be highly significant (*p*-value: 0.001). The ER in subzone M1 was found to be significantly higher than in all other subareas, both on the continental shelf (0–200 m) and along the continental slope (200–2000 m). The M2 subzone was found to have an ER higher than the M3 and M4 subzones in the pelagic domain (>2000 m) and subzones M3, M6, and M8 along the continental slope (200–2000 m). In all the other subzones, the differences were not significant.



**Figure 6.** The encounter rate map (**A**) and the prevalence map (**B**) of the striped dolphin (*Stenella coeruleoalba*), processed through Kernel density analysis. The striped dolphin was sighted in all areas of sampling, with a clear preference for the waters outside the continental shelf (>200 m), even very close to the coastline and in semi-closed contexts, provided there was the right water depth (such as in the Gulf of Corinth, Greece). Its ER is higher in the western basin, specifically in the Alboran Sea and in the north-western Mediterranean. The striped dolphin shows a high prevalence in most of the sampled offshore waters (**B**), confirming its status as a dominant species of the offshore domains. However, in the Alboran Sea, its prevalence is relatively low (despite the high ER), probably due to the coexistence of other species (Kernel density parameters: cell size x, y = 5 × 5 km; radius  $\approx$  30 km; criterion for division into classes: natural breaks).



**Figure 7.** The encounter rate map (**A**) and the prevalence map (**B**) of the bottlenose dolphin (*Tursiops truncatus*), processed through Kernel density analysis. The bottlenose dolphin was sighted in almost all of the sampling areas (and in all the bathymetric domains), with a clear preference for the waters of the continental shelf (<200 m), as well as on sea shoals and around the islands. Its preference for the habitat of the continental shelf, where the bottlenose dolphin is the dominant species, is very evident from the prevalence map too (**B**). This pattern of distribution is less obvious in the Alboran Sea, where the bottlenose dolphin shares the continental shelf with the common dolphin (see Figure 8) and is sighted with good success over the continental slope too (Kernel density parameters: cell size x, y = 5 × 5 km; radius  $\approx$  30 km; criterion for division into classes: natural breaks).



**Figure 8.** The encounter rate map (**A**) and the prevalence map (**B**) of the common dolphin (*Delphinus delphis*), processed through Kernel density analysis. The common dolphin was sighted in most of the areas sampled (except for the Adriatic Sea), with a very diverse and ubiquitous distribution. Its ER is maximum in the Alboran Sea, where this species seems to find its favoured habitat in the waters of the continental shelf and slope. In the Ligurian and Tyrrhenian Sea, its ER is much lower, and this species seems to prefer the open waters outside the continental shelf (>200 m). In the Aegean Sea, the ER increases and the common dolphin seems to find its privileged habitat at the upper edge of the continental slope, close to the platform. The prevalence map (**B**) shows an inverse pattern to that of the striped dolphin (Figure 6B), with prevalence levels increasing from north to south and from west to east (Kernel density parameters: cell size x, y = 5 × 5 km; radius ≈ 30 km; criterion for division into classes: natural breaks).



**Figure 9.** The encounter rate map (**A**) and the prevalence map (**B**) of the Risso's dolphin (*Grampus griseus*), processed through Kernel density analysis. The Risso's dolphin was sighted in most of the sampling areas of the west basin, with a scattered distribution. The ER is maximum in the Alboran Sea and lower inside the Mediterranean. The Risso's dolphin shows a clear preference for the waters outside the continental shelf (>200 m) and a slight preference for the waters of the continental slope (200–2000 m). The prevalence map (**B**) shows the same scattered pattern and, in the Alboran Sea, the prevalence is relatively low (despite the high ER), probably because of the coexistence of other species (Kernel density parameters: cell size x,  $y = 5 \times 5$  km; radius  $\approx 30$  km; criterion for division into classes: natural breaks).



**Figure 10.** The encounter rate map (**A**) and the prevalence map (**B**) of the long-finned pilot whale (*Globicephala melas*), processed through Kernel density analysis. The pilot whale was only sighted in some of the sampled areas, always in the western basin, specifically in the Alboran Sea (where this species has the maximum ER), in the western portion of the Pelagos Sanctuary, and in the western adjacent waters. It shows a clear preference for waters outside the continental shelf (>200 m) and a slight preference for the waters of the continental slope (200–2000 m). The prevalence map (**B**) confirms this pattern of presence and distribution limited to the two above-described areas (Kernel density parameters: cell size x, y = 5 × 5 km; radius  $\approx$  30 km; criterion for division into classes: natural breaks).



**Figure 11.** The encounter rate map (**A**) and the prevalence map (**B**) of the Cuvier's beaked whale (*Ziphius cavirostris*), processed through Kernel density analysis. The Cuvier's beaked whale was only sighted in some of the sampled areas, mainly (but not only) in the western basin. The ER is maximum in the Alboran Sea, in the central portion of the Pelagos Sanctuary, and in a few relatively small areas such as in the northeast of Sardinia (the so-called canyon system of Caprera, Italy) and in the Bay of Antalya (Türkiye). This species shows a clear preference for waters outside the continental shelf (>200 m) and a slight preference for the waters of the continental slope (200–2000 m). The prevalence map (**B**) confirms this pattern of presence and distribution limited to a few specific areas (Kernel density parameters: cell size x,  $y = 5 \times 5$  km; radius  $\approx 30$  km; criterion for division into classes: natural breaks).



**Figure 12.** The encounter rate map (**A**) and the prevalence map (**B**) of the sperm whale (*Physeter macrocephalus*), processed through Kernel density analysis. The sperm whale was sighted mainly in the western basin and in a few spots of the eastern basin. Contrary to what is observed in most other cetacean species, the ER of the sperm whale in the Alboran Sea is not that high. This species seems more successfully sighted in the Pelagos Sanctuary (and western adjacent waters) and in the Campania archipelago (Italy). The sperm whale shows a clear preference for waters outside the continental shelf (>200 m) and a slight preference for the waters of the continental slope (200–2000 m). The prevalence map (**B**) confirms this pattern of presence and distribution within the Mediterranean basin (Kernel density parameters: cell size x, y = 5 × 5 km; radius  $\approx$  30 km; criterion for division into classes: natural breaks).



**Figure 13.** The encounter rate map (**A**) and the prevalence map (**B**) of the fin whale (*Balaenoptera physalus*), processed through Kernel density analysis. The fin whale was sighted only in the western basin and in the Strait of Sicily, around the island of Lampedusa. Contrary to what is observed in most other cetacean species, the ER of the fin whale in the Alboran Sea is quite low. This species is successfully sighted in the Pelagos Sanctuary (and western adjacent waters) and in a relatively small area in the northeast of Sardinia (the so-called canyon system of Caprera). Even if it can be sighted over the continental shelf as well (like the Tunisian platform, around the island of Lampedusa), the fin whale shows a clear preference for pelagic waters >2000 m. The prevalence map (**B**) confirms this pattern of distribution and the preference for pelagic waters (Kernel density parameters: cell size x, y = 5 × 5 km; radius  $\approx$  30 km; criterion for division into classes: natural breaks).



**Figure 14.** The map of the total encounter rate (**A**) and the map of the cetacean diversity according to the Shannon index (**B**). As a general pattern, both the ER and diversity index have their maximum values in the Alboran Sea (in the westernmost area of the basin) and they seem to decrease moving eastwards inside the Mediterranean (Kernel density parameters: cell size x,  $y = 5 \times 5$  km; radius  $\approx$  40 km; criterion for division into classes: natural breaks).



Figure 15. GLM estimated marginal means for geographical subzone and depth class (encounter rate).

Regarding the Shannon index (Figure 16), the GLM analysis results showed effort to be a significant covariate (F: 65.6, df1: 1, df: 854—p-value < 0.001), as with the factors depth class, subzone, and their interaction (p-value < 0.001). The subzone was found to be the most significant factor (F: 21.6, df1: 7) with respect to the depth class (F: 8.4, df1: 7) and the subzone \* depth interaction (F: 6.9, df1: 9).



**Figure 16.** GLM estimated marginal means for geographical subzone and depth class (Shannon index). Estimated means are corrected for the effort covariate.

The pairwise multiple comparisons revealed that the maximum value for the diversity index was found in subzone M1, with the second largest in M2. Concerning the depth classes, the continental shelf (0–200 m) was found to have a lower biodiversity with respect

to the others (p-value < 0.01). The significant interaction between subzones and depth classes is related to the fact that, in some subzones (e.g., M3 and M4), the biodiversity difference between the continental and the other depth classes is not significant (p-value > 0.05).

Temporal trends in the Shannon index were also analysed for eight selected datasets, having a multiannual data series in the bathymetric district of the continental slope (200–2000 m). No significant temporal trend was found in the analysed datasets (Spearman's rank correlation test, *p*-value > 0.05, see Figure 17).





**Figure 17.** The Shannon index was calculated on an annual basis (**bottom**) in eight different research units, operating in the respective study areas from 2004 to 2018 (**top**). In the datasets analysed, no significant trend was found (Spearman's rank correlation test, *p*-value > 0.05). ARC: Alnilam Research and Conservation; CIMA: Fondazione CIMA; FADG: Fondazione Acquario di Genova; EOI: EcoOcean Institut; JDC: Jonian Dolphin Conservation; UNIPI: University of Pisa; OMD: Oceanomare Delphis Onlus; TRI: Tethys Research Institute.

## 4. Discussion and Conclusions

According to the analyses performed on the common dataset, the diversity of cetaceans in the Mediterranean Sea is rather low when compared with the adjacent Atlantic waters [27,28]. Two species prevail over all of the others and alone account for over 73% of all sightings: the bottlenose dolphin, in the bathymetric domain of the continental shelf (0–200 m), and the striped dolphin, in both the bathymetric domain of the continental slope (200–2000 m) and the pelagic one (>2000 m). Among the large cetaceans, only two species, the sperm whale and the fin whale, are regularly sighted on a Mediterranean scale, the first in the continental slope and pelagic domains and the second mainly in the pelagic one. These four species alone account for over 90% of all sightings (Table 2) and their dominance in the sighting composition appears to be rather stable over the sampling period, confirming the general pattern (see Figures S10 and S11 in the Supplementary Materials).

The dominance of the striped dolphin, bottlenose dolphin, sperm whale, and fin whale is also clear from the encounter rate and prevalence maps (Figures 6–13). The bottlenose dolphin and the striped dolphin have a wide distribution, including nearly all the study areas sampled (each one in its preferred bathymetric domain). The sperm whale and the fin whale also show quite a wide distribution, but limited to the western basin (with a few exceptions for the sperm whale), at least with regards to the sampled areas. The sperm whale seems to find its preferred habitat at the lower edge of the continental slope, while the fin whale finds it in the pelagic waters >2000 m, in agreement with previous studies [29]. The preference of these two species for pelagic waters could explain their low presence in the Alboran Sea, which has a maximum depth of 1500 m and an average depth of 450 m.

The remaining species show a more heterogeneous distribution, often restricted to (or most prevalent in) the western basin (such as the pilot whale and the Risso's dolphin), fragmented (such as the Risso's dolphin) or limited to a few specific areas (such as the pilot whale and the Cuvier's beaked whale).

As a general pattern, the encounter rate of most species seems to decrease from the Alboran Sea, where most of the species are sighted with good success (in agreement with [30]), moving eastwards within the Mediterranean basin. This pattern, however, has considerable local variations; in the subzone M2 (north-western Mediterranean Sea), for example, the encounter rate of most species is relatively high, confirming that this is an important area for the presence of cetaceans, in agreement with previous findings [29,31,32].

Given the above general pattern, the common dolphin shows a peculiar distribution. The encounter rate is higher in the middle depth waters of the Alboran Sea; decreases in the central Mediterranean, where this species is mainly sighted in pelagic waters (usually a few individuals, mixed with large groups of striped dolphins); and increases in the Aegean Sea, where the common dolphin seems to find its preferred habitat at the upper edge of the continental slope, close to the platform, contributing to the local diversity of species (Figure 8A). The prevalence map (Figure 8B) shows an inverse pattern to that of the striped dolphin (Figure 6B), with prevalence levels apparently increasing from north to south and from west to east. This pattern of distribution seems to support the hypothesis of a potential competition with the dominant species of the continental shelf domain—the bottlenose dolphin (Figure 7B). "Sandwiched" between these two dominant species, the common dolphin would seem to have difficulty finding its habitat space in the current context of the Mediterranean Sea (but, on the same subject, see also [33]).

The diversity map, elaborated through the Shannon index (Figure 14B), is quite consistent with the map of the encounter rate, at least in the general pattern; the diversity index is at a maximum in the Alboran Sea and seems to decrease moving eastwards in the Mediterranean. At the same time, the diversity index shows the lowest values in the domain of the continental shelf, which is dominated by the bottlenose dolphin, except for the Alboran Sea and the Aegean Sea, where the distribution of the common dolphin partially overlaps the distribution of the bottlenose dolphin, in agreement with previous studies [30,34–36]. All of the other species seem to find their habitat outside the continental

shelf, where the greatest bathymetric gradient allows them to find the most favourable ecological conditions, in terms of presence and abundance of prey too, again in agreement with previous findings [30,32,37–40].

The comparison between subzones seems to confirm the general pattern and its exceptions. The Shannon index decreases moving eastwards within the Mediterranean Sea, and the Alboran Sea and the north-western Mediterranean show the highest levels of both encounter rate and diversity (Figures 15 and 16).

We could not look for possible temporal trends of diversity in the aggregated dataset, as the contribution coming from the different study areas could have produced false trends. However, when we examined the annual Shannon index in the datasets of eight research units (Figure 17), we did not find any temporal trend. This result suggests that the cetacean diversity in the Mediterranean basin, although rather low, is relatively stable, at least within the period examined.

The low cetacean diversity of the Mediterranean Sea is probably related to the context of general oligotrophy [1,2]. The Shannon index shows the maximum levels in the Alboran Sea, which has a higher primary production if compared with the average of the Mediterranean Sea [41,42]; it decreases in areas where the primary production is lower, such as the Tyrrhenian Sea, to rise again in areas where the primary production increases, such as the north-western Mediterranean Sea (see Figure 18), in agreement with previous observations [10,32,37,43].



**Figure 18.** Chlorophyll concentration (mg.m<sup>-3</sup>) (average value for 2016). Data source: https://data. marine.copernicus.eu (accessed 20 December 2022).

The correlation between the Shannon diversity index and primary production (measured as chlorophyll concentration) appears quite evident from Figure 18 and is indeed statistically significant according to further in-depth investigations (see Supplementary Materials, Figures S14 and S15), both in the continental slope domain (200–2000 m) and in the pelagic one (>2000 m).

However, if the primary production does represent a limiting factor of cetacean diversity, other parameters seem to play an important role. The diversity index is significantly higher in the offshore domains, which seem to offer favourable conditions for a greater number of species. Marine areas with a diversified seabed profile, including more bathymetric habitats, show higher levels of diversity, while in areas with a monotonous bathymetric profile, such as the Northern Adriatic Sea, the diversity index is low (despite the primary production being relatively high, see Figure 18). In the Gulf of Lion, a wide embayment bordering the pelagic waters of the north-western Mediterranean Sea (rich in diversity), the Shannon index drops sharply to zero. The Gulf of Lion is highly productive, thanks to the direct supply of nutrients from the Rhone, but lies almost entirely on the continental shelf, with an average depth of about 250 m [44]. Within the 200 m isobath marking the border of the shelf, only one species of cetacean, the bottlenose dolphin, has been sighted, so the diversity index marks zero (Figure 14).

High primary production, favoured by the riverine nutrient inflow and/or by local upwelling phenomena [45], and the presence of diversified bathymetric habitats seem to be the main oceanographic and physiographic variables in favour of a greater level of diversity.

This double dependence of cetacean diversity from both physiographic and productive parameters had already been hypothesised by Gannier [37]. However, Gannier measured a low level of diversity in the north-western basin, when compared with other Mediterranean areas, such as the Tyrrhenian and the Ionian Sea, in disagreement with our findings. According to the author, this could be due to the high dominance of the striped dolphin in the area. The research from Gannier was based on a much smaller dataset (379 sightings in the whole Mediterranean Sea) and included only delphinids in the diversity analysis (striped dolphin, common bottlenose dolphin, common dolphin, Risso's dolphin, and longfinned pilot whale). This different approach is probably sufficient to justify the difference in the results obtained, as we know that the fin whale, the sperm whale, and the Cuvier's beaked whale are regularly observed in the north-western Mediterranean Sea. However, it cannot be excluded that, since Gannier's research campaign (1997-2001), there may have been some changes in cetacean composition and diversity within the subzones examined. Azzellino and co-authors, for example, analysing the data collected within the Pelagos Sanctuary from 2004 to 2014, found marked positive trends in three of the five species studied (striped dolphin, Cuvier's beaked whale, and sperm whale), while the other two (Risso's dolphin and fin whale) showed a negative trend [46].

The results of our research are consistent with a previous study that considered cetacean diversity over the seas surrounding Italy based on the data derived from aerial surveys with a systematic effort design [47], confirming the importance and the reliability of the present common dataset, based on multi-platform sampling activity, to improve the knowledge over large basin-wide areas.

Our findings are also quite consistent with the result of the ACCOBAMS (Agreement on the Conservation of Cetaceans of the Black Sea, Mediterranean Sea and Contiguous Atlantic Area) Aerial Survey Initiative (ASI), at least with regards to the presence and distribution of the dominant species of the offshore domains, such as the striped dolphin and the fin whale [48]. Comparisons are more difficult when referring to species that are preferably sighted on the continental shelf (such as the bottlenose dolphin) or have a limited distribution to specific areas (such as the pilot whale) and/or surface elusive behaviour (such as the Cuvier's beaked whale), and thus can escape aerial surveys. As our aim was to map the diversity of cetaceans in the Mediterranean Sea, a larger dataset, aggregating data from many different sampling areas, can highlight the presence of non-dominant species, contributing to the identification of potential biodiversity hotspots. We believe that these results need to be integrated with those coming from systematic monitoring campaigns to improve the state of knowledge and fill the gaps, also in relation to the descriptor 1 (biological diversity is maintained) of the Marine Strategy Framework Directive [49].

### **Conservation Remarks**

The analyses carried out on the common dataset confirm the importance of some areas, already listed as "Important Marine Mammal Areas" (IMMAs), as diversity hotspots for cetaceans, such as the Alboran Sea [50], the north-western Mediterranean Sea [51], the Campanian and Pontine Archipelago [52], and the Waters of Ischia and Ventotene [53].

The results obtained also seem to confirm the importance of Eastern Sicily and the Strait of Messina (candidate Important Marine Mammal Areas (cIMMA)), whose designations as IMMA are being evaluated, and the Caprera canyon, in the northern Tyrrhenian Sea (Areas of Interest (AoI)), whose candidacy for IMMA is still pending [54]. The Aegean Sea is confirmed as an important area for the presence of the common dolphin, evidence that should be highlighted for future conservation actions.

The results obtained in this collective research effort underline the importance of data sharing to better understand the distribution and ecology of cetaceans. As far as we know, our common dataset is the largest ever analysed in aggregate form in the context of the Mediterranean Sea, but there are still large portions of the basin for which no data were available (e.g., the eastern basin, in its southern portion and in the pelagic area) and this could lead to an underestimation of cetacean diversity. In fact, although it is believed that the western basin hosts a greater diversity in terms of cetacean fauna [3], some species, such as the rough-toothed dolphin (*Steno bredanensis*), seem to find their main distribution range in the eastern basin, such as the Hellenic Trench, which is considered an Important Marine Mammal Area (IMMA), especially for deep divers such as the sperm whale and Cuvier's beaked whale [55]. It is thus crucial to increase the sampling effort in poorly covered areas, in order to complete the picture and identify all possible biodiversity hotspots to be preserved (see also [22]).

Furthermore, the data analysed refer mainly to the spring–summer period (see Figure 2), as this is the best period for data collection in terms of weather condition and daylight hours. The general picture could change if referring to different seasons, especially for highly mobile species such as the fin whale [56].

In a context generally poor in diversity, where primary production is most likely an important limiting factor, it is worth asking what the impact of climate change and the consequent alteration of meteorological phenomena could be (e.g., rainfall regime, rate of evaporation, and so on). The oligotrophy of the Mediterranean Sea has been attributed to the anti-estuarine circulation of the Strait of Gibraltar, which causes an export of nutrients to the Atlantic [2,57]. The Mediterranean thus relies on the main rivers for its supply, as in the case of the Rhone, which contributes to the productivity of the north-western Mediterranean, and of the Po in the Adriatic Sea [32,58,59], but, according to Ludwig et al. [60], in 2050, the Mediterranean could have lost more than one-fourth of the freshwater flow from rivers compared with 1960, mainly because of climate change. In the sub-basins of the north, the flux of nitrates is predicted to decrease in the future, as a consequence of climate change, population decrease, and implementation of antipollution measures. As cetacean diversity appears to be greater in areas with higher primary production, a significant decrease in river nutrient intake could lead to a downward trend in diversity in the Mediterranean Sea.

In the macroscale scenario described, governed by physiography and primary production, human activities, such as fishing and maritime traffic, can play an important role as drivers of change at the local level [44]. This study may represent a robust baseline assessment to detect future changes.

**Supplementary Materials:** The following supporting information can be downloaded at: https:// www.mdpi.com/article/10.3390/d15030321/s1, Figure S1: Sighting points of the striped dolphin (*Stenella coeruleoalba*); Figure S2: Sighting points of the common bottlenose dolphin (*Tursiops truncatus*); Figure S3: Sighting points of the common dolphin (*Delphinus delphis*); Figure S4: Sighting points of the Risso's dolphin (*Grampus griseus*); Figure S5: Sighting points of the long-finned pilot whale (*Globicephala melas*); Figure S6: Sighting points of the Cuvier's beaked whale (*Ziphius cavirostris*); Figure S7: Sighting points of the sperm whale (*Physeter macrocephalus*); Figure S8: Sighting points of the fin whale (*Balaenoptera physalus*); Figure S9: Sighting points of the Black Sea harbour porpoise (*Phocoena phocoena relicta*), Minke whale (*Balaenoptera acutorostrata*), Sowerby's beaked whale (*Mesoplodon bidens*) and rough-teethed dolphin (*Steno bredanensis*); Figure S1: The annual ER of the 9 regularly observed species (all the data of the common dataset); Figure S1: The annual ER of the 9 regular species in the 3 bathymetric domains; Figure S12: Map of the study subzones; Figure S13: Effort distribution among platforms in the different subzones analysed; Figure S14: Correlation between Chlorophyll and Shannon index in the bathymetric domain of the continental slope (200–2000 m); Figure S15: Correlation between Chlorophyll and Shannon index in the pelagic domain (>2000 m).

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